

## Item 1: Cover Page

### Brochure Supplement - ADV Part 2B For:



## Mr. Thomas “Tom” Gerard Snow

Investment Adviser Representative | CRD# 4719360

November 2023

**Righthand Capital, LLC**  
**19 Randlett Park**  
**West Newton, MA 02465**  
**Phone: (617) 430-7770**  
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*This brochure supplement provides information about Mr. Thomas G. Snow that supplements the Righthand Capital, LLC brochure ADV Part 2A for CRD # 307517. If you did not receive the Righthand Capital, LLC brochure or if you have any questions about its contents and/or this supplement contents contact Mr. Thomas G. Snow at (617) 430-7770 or by email at: [tsnow@righthandcapital.com](mailto:tsnow@righthandcapital.com). The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission or by any state securities authority. Disciplinary history of the Registrant or its representatives may be obtained by calling the Massachusetts Securities Division at (617) 727-3548.*

*Additional information about Righthand Capital, LLC and/or its President, Mr. Thomas G. Snow is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov)*

*Registration does not imply a certain level of skill or training.*

## Item 2: Educational Background and Business Experience

### Name:

Mr. Thomas G. Snow

Born: 1964

### Education:

University of New Hampshire | BS in Chemical Engineering | 1986

### Certifications:

CFP®, Certified Financial Planner®

***CERTIFIED FINANCIAL PLANNER, CFP® and federally registered CFP®*** (with flame design) marks (collectively, the "CFP® marks") are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board"). The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 63,000 individuals have obtained CFP® certification in the United States. To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education - Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board's studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor's Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board's financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- Examination - Pass the comprehensive CFP® Certification Examination. The examination, administered in 10 hours over a two-day period, includes case studies and client scenarios designed to test one's ability to correctly diagnose financial planning issues and apply one's knowledge of financial planning to real world circumstances;
- Experience - Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics - Agree to be bound by CFP Board's Standards of Professional Conduct, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- Continuing Education - Complete 30 hours of continuing education hours every two years, including two hours on the Code of Ethics and other parts of the Standards of Professional Conduct, to maintain competence and keep up with developments in the financial planning field; and
- Ethics - Renew an agreement to be bound by the Standards of Professional Conduct. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board's enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

## **Industry Exams Passed:**

SIE | Securities Industry Essentials Examination | 2018

Series 66 | Uniformed Combined State Law Examination | 2005

Series 63 | Uniform Securities Agent State Law Examination | 2003

Series 7 | General Securities Representative | 2004

Series 6 | Investment Company Products/Variable Contracts Representative | 2003

Insurance License | Accident and Health or Sickness, Life, Variable Life and Variable Annuity (Lic# 1787425) | 2003

## **Financial Services and Employment Background:**

Righthand Capital, LLC | Founder & President | West Newton, MA | 01/2020 – Present

Righthand International, LLC | Founder & President | West Newton, MA | 09/2019 – Present

Purshe Kaplan Sterling Investments (PKS) | Registered Representative Agent/Broker | 09/2020 – 11/2023

Lincoln Financial Advisors | Investment Adviser Representative | Boston, MA | 11/2014 – 06/2020

Northwestern Mutual Wealth Management Company | Milwaukee, WI | 05/2010 – 11/2014

Northwestern Mutual Investment Services | Boston, MA | 10/2003 – 11/2014

Northwestern Mutual Life Insurance Company | Milwaukee, WI | 10/2003 – 11/2014

## **Item 3: Disciplinary Information**

As an Investment Advisor Representative you are required to disclose all material fact regarding any legal or disciplinary events that would be material in your evaluation. Currently, Mr. Thomas G. Snow has no industry related information applicable to this requirement. For more information about Mr. Thomas G. Snow, please visit FINRA's Broker Check at [www.finra.org/brokercheck](http://www.finra.org/brokercheck) and/or the SEC's Investment Advisor Search at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## **Item 4: Other Business Activities**

Mr. Thomas G. Snow is not actively engaged in any other investment advisory - related business or occupation at this time, other than Righthand Capital, LLC.

Mr. Thomas G. Snow is the owner and principle of Right Hand International, LLC. Right Hand International, LLC is in the business of coaching and consulting businesses and individuals.

Mr. Thomas G. Snow is a licensed insurance agent. From time to time, he may offer clients advice or products from this activity. Clients should be aware that these services may pay a commission and involve a possible conflict of interest, as commissionable products can conflict with the fiduciary duties of a registered investment adviser. Righthand Capital always acts in the best interest of the client; including in the sale of commissionable products to advisory clients. Clients are in no way required to implement the plan through any representative of Righthand Capital in their capacity as a licensed insurance agent.

## **Item 5: Additional Compensation**

Mr. Thomas G. Snow does not receive any economic benefit from any person, company, or organization in the investment advisory industry, outside of Righthand Capital, LLC.

Mr. Thomas G. Snow receives compensation from his business coaching and consulting work at Righthand International, LLC.

Mr. Thomas G. Snow maintains his insurance license and has the ability to present insurance products to clients. These products (Annuities, Life Insurance, Long Term Care Insurance, etc...) may be commission based and/or pay a fee to the Broker/Agent. In these cases, where Mr. Thomas G. Snow acts as a Broker/Agent, he may receive additional compensation from these transactions. This would create a conflict of interest and would be disclosed to the client prior to the purchase or sale of any product in which there is additional compensation. Client will always be made aware that such services may be available from other sources at lower costs.

## **Item 6: Supervision**

Mr. Thomas G. Snow is the President of Righthand Capital, LLC, and is supervised by Mr. Michael Kautz the Chief Compliance Officer (CCO) of Righthand Capital, LLC. Mr. Michael Kautz can be reached by phone at (336) 782-6129 or by email at [mkautz@righthandcapital.com](mailto:mkautz@righthandcapital.com). Mr. Thomas G. Snow adheres to all required regulations regarding the activities of an Investment Adviser Representative and follows all policies and procedures outlined in the firm's policies and procedures manual, the firms compliance manual, including the code of ethics, and appropriate securities regulatory requirements.

## **Item 7: Requirements for State-Registered Advisers**

Mr. Thomas G. Snow has never been found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:

- (a) an investment or an investment-related business or activity;
- (b) fraud, false statement(s), or omissions;
- (c) theft, embezzlement, or other wrongful taking of property;
- (d) bribery, forgery, counterfeiting, or extortion; or
- (e) dishonest, unfair, or unethical practices.

Mr. Thomas G. Snow has never been found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:

- (a) an investment or an investment-related business or activity;
- (b) fraud, false statement(s), or omissions;
- (c) theft, embezzlement, or other wrongful taking of property;
- (d) bribery, forgery, counterfeiting, or extortion; or
- (e) dishonest, unfair, or unethical practices.

Mr. Thomas G. Snow has never been involved or the subject of a bankruptcy petition.

Clients can obtain the disciplinary history of the Registrant, Righthand Capital, LLC, and/or its representatives from the Division upon request.

For more information about Mr. Thomas G. Snow, please visit FINRA's Broker Check at [www.finra.org/brokercheck](http://www.finra.org/brokercheck) and/or the SEC's Investment Advisor Search at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov)